

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2006

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2006**, or tax year beginning , 2006, and ending , 20

G Check all that apply: Initial return Final return Amended return Address change Name change

| | | | |
|---|---|---|---|
| Use the IRS label. Otherwise, print or type. See Specific Instructions. | Name of foundation | | A Employer identification number |
| | Number and street (or P.O. box number if mail is not delivered to street address) | Room/suite | B Telephone number (see page 11 of the instructions) () |
| | City or town, state, and ZIP code | | C If exemption application is pending, check here <input type="checkbox"/> |
| H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | | D 1. Foreign organizations, check here . . . <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation . . . <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ | | J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | |
| | | | E If private foundation status was terminated under section 507(b)(1)(A), check here . . . <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | | | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) _____ | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | | | | |
| | b Gross sales price for all assets on line 6a _____ | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | | | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) | | | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | | | | |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | | | | |
| | 25 Contributions, gifts, grants paid | | | | |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | | | | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | | | | |
| b Net investment income (if negative, enter -0-) | | | | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | Beginning of year | End of year | |
|--|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | | | |
| | c Investments—corporate bonds (attach schedule) | | | |
| | 11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | | | |
| | 14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶ | | | |
| 15 Other assets (describe ▶) | | | | |
| 16 Total assets (to be completed by all filers—see page 17 of the instructions. Also, see page 1, item I) | | | | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶) | | | |
| 23 Total liabilities (add lines 17 through 22) | | | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 Total net assets or fund balances (see page 18 of the instructions) | | | | |
| 31 Total liabilities and net assets/fund balances (see page 18 of the instructions) | | | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|---|--|----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | | 1 |
| 2 Enter amount from Part I, line 27a | | 2 |
| 3 Other increases not included in line 2 (itemize) ▶ | | 3 |
| 4 Add lines 1, 2, and 3 | | 4 |
| 5 Decreases not included in line 2 (itemize) ▶ | | 5 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | | 6 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|---|----------------------------------|
| 1a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 18 of the instructions). If (loss), enter -0- in Part I, line 8 | | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 19 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|--|--|---|
| 2005 | | | |
| 2004 | | | |
| 2003 | | | |
| 2002 | | | |
| 2001 | | | |
| 2 Total of line 1, column (d) | | | 2 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 |
| 4 Enter the net value of noncharitable-use assets for 2006 from Part X, line 5 | | | 4 |
| 5 Multiply line 4 by line 3 | | | 5 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 |
| 7 Add lines 5 and 6 | | | 7 |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 19.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 19 of the instructions)

Table with 11 rows and 3 columns. Rows 1-5 contain questions about exempt operating foundations and tax calculations. Rows 6-11 contain questions about credits and payments, with sub-rows 6a-6d for detailed credit information.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns. Rows 1a-10 contain questions regarding the foundation's activities, including political campaigns, expenditures, and reporting requirements.

Part VII-A Statements Regarding Activities *Continued*

| | | | | |
|------------|---|------------|--|--|
| 11a | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. (see instructions) | 11a | | |
| b | If "Yes," did the foundation have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in the attachment for line 11a? | 11b | | |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract? | 12 | | |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____ | 13 | | |
| 14 | The books are in care of ▶ _____ Telephone no. ▶ _____ Located at ▶ _____ ZIP+4 ▶ _____ | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|------------|---|-----------|----|
| 1a | During the year did the foundation (either directly or indirectly): | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/> | 1b | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2006? | 1c | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2006, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2006? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20 ____ , 20 ____ , 20 ____ , 20 ____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.) | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 ____ , 20 ____ , 20 ____ , 20 ____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2006 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2006.</i>) | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2006? | 4b | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *Continued*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 23 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here Yes No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If you answered "Yes" to 6b, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 23 of the instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see page 24 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *Continued*

3 Five highest-paid independent contractors for professional services (see page 24 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 | |
| 2 | |
| All other program-related investments. See page 25 of the instructions. 3 | |
| Total. Add lines 1 through 3 ▶ | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 25 of the instructions.)

| | | | |
|----------|--|-----------|--|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | |
| b | Average of monthly cash balances | 1b | |
| c | Fair market value of all other assets (see page 25 of the instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | |
| 4 | Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 26 of the instructions) | 4 | |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | |

Part XI Distributable Amount (see page 26 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|---|-----------|--|
| 1 | Minimum investment return from Part X, line 6 | 1 | |
| 2a | Tax on investment income for 2006 from Part VI, line 5 | 2a | |
| b | Income tax for 2006. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | |
| 6 | Deduction from distributable amount (see page 26 of the instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | |

Part XII Qualifying Distributions (see page 26 of the instructions)

| | | | |
|----------|---|-----------|--|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 27 of the instructions) | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 27 of the instructions)

| | (a) Corpus | (b) Years prior to 2005 | (c) 2005 | (d) 2006 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2006 from Part XI, line 7 | | | | |
| 2 Undistributed income, if any, as of the end of 2005: | | | | |
| a Enter amount for 2005 only | | | | |
| b Total for prior years: 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2006: | | | | |
| a From 2001 | | | | |
| b From 2002 | | | | |
| c From 2003 | | | | |
| d From 2004 | | | | |
| e From 2005 | | | | |
| f Total of lines 3a through e | | | | |
| 4 Qualifying distributions for 2006 from Part XII, line 4: ► \$ _____ | | | | |
| a Applied to 2005, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see page 27 of the instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see page 27 of the instructions) | | | | |
| d Applied to 2006 distributable amount | | | | |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2006 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions | | | | |
| e Undistributed income for 2005. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions | | | | |
| f Undistributed income for 2006. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2007 | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 28 of the instructions) | | | | |
| 8 Excess distributions carryover from 2001 not applied on line 5 or line 7 (see page 28 of the instructions) | | | | |
| 9 Excess distributions carryover to 2007. Subtract lines 7 and 8 from line 6a | | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2002 | | | | |
| b Excess from 2003 | | | | |
| c Excess from 2004 | | | | |
| d Excess from 2005 | | | | |
| e Excess from 2006 | | | | |

Part XIV Private Operating Foundations (see page 28 of the instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2006, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | | | (e) Total |
|--|----------|----------|----------|----------|-----------|
| | (a) 2006 | (b) 2005 | (c) 2004 | (d) 2003 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
-
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
-
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
-
- a** The name, address, and telephone number of the person to whom applications should be addressed:
-
- b** The form in which applications should be submitted and information and materials they should include:
-
- c** Any submission deadlines:
-
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
-

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|-------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| Total | | | | ▶ 3a |
| b <i>Approved for future payment</i> | | | | |
| Total | | | | ▶ 3b |

| Number | Name | Address | Amount | Type |
|---------------|------------------------------|--|---------------|-------------|
| 1998 | Miriam Schwartz CLA Trust | c/o Robert Schwartz 268 N. Parkview Ave. Columbus, OH 43209-1438 | \$226,500 | Cash |

Line 18 Taxes

Type

Amount

2005 Part VI

\$54

Line 22 Other

Type

Amount

Bank Fees

\$221

| Name & Adress | Title (hours) | Comp | Benefits | Expenses |
|--|------------------------|-------------|-----------------|-----------------|
| Bruce A. Schwartz, M.D. 11417 Canterbury Cir. Leewood KS 66211 | Chairman (.02) | 0 | 0 | 0 |
| James M. Schwartz 60 Brush Hill Rd. Newton MA 02161 | President (.02) | 0 | 0 | 0 |
| Robert S. Schwartz 268 N. Parkview Ave Columbus Oh 43209 | Vp, Secy-Treas. (2) | 0 | 0 | 0 |

| Recipient Name | Recipient Address | Relationship | Foundation Status | Purpose | Amount Donated |
|--|---|--------------|--|--|----------------|
| Ballet Met | 322 Mt. Vernon Avenue Columbus, OH 43215 | N/A | Public charity with 50% deductibility limitation | \$10,000 for Annual Fund \$15,000 for Building Fund | \$25,000.00 |
| Blue Valley Educational Foundation | 15020 Metcalf Ave Overland, KS 66223 | N/A | | Unrestricted Grant | \$5,500.00 |
| Charity Newsies www.charitynewsies.com/ | 4300 Indianola Avenue Columbus, OH 43214 | N/A | Public Charity | Unrestricted Grant | \$1,000.00 |
| Children's Hospital Foundation www.columbuschildrens.com | 700 Children's Drive Columbus, OH 43205 | N/A | Public Charity | Miriam and Stanley Schwartz, Jr. Endowment Fund | \$6,000.00 |
| College of Medicine and Public Health of The Ohio State University | 270 Meiling Hall 370 W. 9TH Ave. Columbus, OH 43210 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$12,000.00 |
| College of Medicine and Public Health of The Ohio State University | 270 Meiling Hall 370 W. 9TH Ave. Columbus, OH 43210 | N/A | Public charity with 50% deductibility limitation | Scholarship Fund | \$13,000.00 |
| Columbus Cancer Clinic www.columbuscancerclinic.org | 65 Ceramic Drive Columbus, OH 43214-3063 | N/A | Public Charity | Annual Campaign | \$1,000.00 |
| Columbus Jewish Federation www.jewishcolumbus.org | 1175 College Avenue Columbus, OH 43209 | N/A | Public charity with 50% deductibility limitation | \$11,000 5767 Campaign \$3,000 Israel Emerg Fund | \$14,000.00 |

| Recipient Name | Recipient Address | Relationship | Foundation Status | Purpose | Amount Donated |
|---|---|--------------|---|---|----------------|
| Combined Jewish Philanthropies | 126 High Street Boston, MA 02110 | N/A | | Unrestricted Grant | \$7,500.00 |
| Commission for Jewish Education of the Palm Beaches | 3267 N. Military Trail West Palm Beach, FL 33409-2732 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$5,000.00 |
| Congregation Beth Torah Inc. | 6100 W. 127th Street Overland Park, Ks. 66209 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$1,000.00 |
| First Downs For Down Syndrome | Rouse, Hendricks, German, May & Shank, PC 1010 Walnut Kansas City, MO 64104 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$5,000.00 |
| Harvesters Community Food Network | 1811 North Topping Kansas City, MO 64120 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$1,000.00 |
| Heart of America United Way | 1080 Washington Kansas City, MO 64105 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$1,000.00 |
| James Cancer Hospital and Solove Research Institute at The OSU (Make checks payable to: OSUCCC-James) | Room 517 300 W. Tenth Avenue Columbus, OH 43210 | N/A | A public charity with a 50% deductibility limitation. | Miriam and Stanley Schwartz,Jr.Cancer Research Endowment Fund | \$10,000.00 |
| Jewish Community Center of the Greater Palm Beaches Harold & Sylvia Kaplan Jewish Community Center | 3151 N. Military Trail West Palm Beach, FL 33409 | N/A | Public charity with 50% deductibility limitation | Replaces Jewish Arts Foundation | \$5,000.00 |

Grants Paid During Year

The Miriam and Stanley
Schwartz, Jr.
Philathropic Foundation

Attachment to Part XV
Form 990PF fy 12/31/2006
TIN 31-1577991

| Recipient Name | Recipient Address | Relationship | Foundation Status | Purpose | Amount Donated |
|---|--|--------------|---|--------------------|----------------|
| Jewish Family Services www.jfscolumbus.org | 1070 College Avenue Columbus, OH 43209 | N/A | Public Charity | Unrestricted Grant | \$1,000.00 |
| Jewish Federation of Greater K.C. | 5801 W 115th Street Shawnee Mission, KS 66211 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$1,000.00 |
| Kentucky-Indiana-Ohio Region B'Nai B'rith Youth Organization ww2.kiobbyo.org | 1125 College Avenue Columbus, OH 43209 | N/A | Public Charity | Unrestricted Grant | \$1,000.00 |
| Marburn Academy Inc. www.marburnacademy.org | 1860 Walden Drive Columbus, OH 43229 | N/A | Public Charity | Unrestricted Grant | \$7,000.00 |
| Marilyn & Stanley M. Katz Seniors Campus (fka Joseph L. Morse Geriatric Center, Inc. | 4847 Fred Gladstone Dr. West Palm Beach, FL 33417 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$5,000.00 |
| Muskingum College | 163 Stormont Street New Concord, OH 43762 | N/A | Public charity with 50% deductibility limitation | Board Member | \$25,000.00 |
| National Down Syndrome Society | 666 Broadway, Suite 810 New York, NY 10012-2317 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$1,000.00 |
| National Yiddish Book Center Inc. | Harry & Jeanette Weinberg Bldg 1021 West Street Amherst, MA 01002-3375 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$5,000.00 |

| Recipient Name | Recipient Address | Relationship | Foundation Status | Purpose | Amount Donated |
|---------------------------------------|---|--------------|--|--------------------|----------------|
| New York University | Office of University Development and Alumni Relations 25 W. 4th Street, 5th Floor New York, NY 10012-1119 | N/A | Public Charity | GSAS Annual Fund | \$500.00 |
| Northwestern University | Office of University Development 2020 Ridge Avenue, Evanston, Illinois 60208-4307 | N/A | Public Charity | Parents Fund | \$2,500.00 |
| Norton Museum of Art | 1451 S. Olive Avenue West Palm Beach, FL 33401 | N/A | Public charity with 50% deductibility limitation | Annual Fund | \$2,500.00 |
| Palm Beach Community Chest United Way | 44 Coconut Row, Suite M201 Palm Beach, FL 33480-9932 | N/A | Public charity with 50% deductibility limitation | Annual Fund | \$5,000.00 |
| reStart Inc | 918 E. 9th St Kansas City, MO 64106 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$500.00 |
| Rose Brooks Center | PO Box 320599 Kansas City MO 64132 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$500.00 |
| Special Olympics Kansas Inc. | P.O. Box 29141 Mission, KS 66201-9141 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$1,000.00 |
| St. Luke's Foundation | 4225 Baltimore Avenue Kansas City, MO 64111 | N/A | | Unrestricted Grant | \$2,000.00 |

| Recipient Name | Recipient Address | Relationship | Foundation Status | Purpose | Amount Donated |
|---|---|--------------|---|---|----------------|
| Temple Beth Shalom www.tbsohio.org | 5089 Johnstown Road New Albany, OH 43054 | N/A | Public Charity | Unrestricted Grant | \$5,000.00 |
| Temple Israel, Palm Beach | 1901 N. Flagler Dr. West Palm Beach, FL 33407-6193 | N/A | Public charity with 50% deductibility limitation | \$10,000 for building fund \$5,000 for annual fund | \$15,000.00 |
| The Jewish Foundation for the Righteous Inc. www.jfr.org | 305 Seventh Avenue, 19th Floor New York, NY 10001-6008 | N/A | Public Charity | Unrestricted Grant | \$500.00 |
| The Ohio State University | 1501 Neil Ave., Suite 020, Columbus, OH 43201 | N/A | Public charity with 50% deductibility limitation | Unrestricted Grant | \$25,000.00 |
| The Raymond F. Kravis Center for the Performing Arts, Inc. | 701 Okeechobee Boulevard West Palm Beach, FL 33401 | N/A | Public charity with 50% deductibility limitation | Annual Campaign | \$2,500.00 |
| Washington University in St. Louis | Washington Univ in St. Louis Box 1192 One Brookings Drive St. Louis, MO 63130-4899 | N/A | A public charity with a 50% deductibility limitation. | Unrestricted Grant | \$25,000.00 |